

Annual Private Funds Symposium

Dorsey & Whitney LLP invites you to join us as we discuss trending topics in the private funds sector. Building on the success of last year's PF Symposium, we have expanded this year's event to a full day of discussions with leading industry experts and service providers.

AGENDA

- 8:15 - 8:30 AM** **Registration**
Continental breakfast will be provided
- 8:30 - 8:45 AM** **Opening Remarks** - Genna Garver, *Of Counsel, Chair Investment Management Group, Dorsey & Whitney LLP*
- 8:45 - 9:45 AM** **Session 1:** The Investor's Perspective

Moderator: John Kaufmann, *Partner, Dorsey & Whitney LLP*

Panelists:
Angelica E. Campanaro, *Assistant Vice President, Structuring, Partners Group*
Howard Cooper, *CEO, Cooper Family Office*
Neil Gagnon, *Managing Partner and Portfolio Manager, Gagnon Securities LLC*
Randy Kaufman, *Senior Vice President, EMM Wealth Management*
- 9:45 -10:45 AM** **Session 2:** Investing with Impact—The Partnership between Private Equity and Non-Profits

Moderator: Claire Topp, *Partner, Dorsey & Whitney LLP*

Panelists:
Ed Gorman, *Chief Community Development Officer, NCRC*
Peter Grubstein, *Managing Director & Partner, NGEN Partners LLC*
Glen Macdonald, *Senior Vice President, Institutional Client Advisor, U.S. Trust, Bank of America Private Wealth Management*
Jonathan Stearns, *Chief Investment Officer, i2 Capital*
- 10:45 -11:00 AM** Networking Break
- 11:00 - 12:00 PM** ***Session 3:** Financing Independent Sponsors – Issues and Approaches for Providers of Junior Capital

Moderator: Stuart Chasanoff, *Of Counsel, Dorsey & Whitney LLP*

Panelists:
Jason Block, *Partner & Chief Investment Officer, Freedom 3 Capital*
Jeffrey Holland, *Partner, Seacoast Capital*
Brian R. Kerr, *Managing Director, ORIX Mezzanine & Private Equity*
Jamie Whatley, *Partner, Dorsey & Whitney LLP*
- 12:00 - 1:00 PM** **Lunch** - *Light lunch will be provided*
- 1:00 - 1:15 PM** **Opening Remarks** - André Ebanks
Senior Legislative Policy Advisor, Department of Financial Services Policy and Legislation Cayman Islands Government
- 1:15 - 2:15 PM** **Session 4:** Emerging Managers and Capital Raising

Moderator: Daniel Baich, *Of Counsel, Dorsey & Whitney LLP*

Panelists:

Chrystalle H. Anstett, *Managing Director, Eaton Partners*
Christina Bertinelli, *Senior Partner, Lumentus*
Hayden Isbister, *Partner, Mourant Ozannes*
Ankur Jain, *Managing Partner & Chief Operating Officer, Falchion Capital Management*

2:15 - 3:15 PM

***Session 5:** Private Fund Adviser Regulation and Enforcement

Moderator: Genna Garver, *Of Counsel, Chair Investment Management Group, Dorsey & Whitney LLP*

Panelists:

Paul Glenn, *Special Counsel, Investment Adviser Association*
Tom Gorman, *Partner, Dorsey & Whitney LLP*
Jeffrey C. Morton, *Partner & Co-Founder, ACA Compliance Group*
Mitzie Pierre, *Chief Compliance Officer, US and Canada, IFM Investors*

3:15 - 3:30 PM

Networking Break

3:30 - 4:30 PM

Session 6: Offshore Update—Opportunities with China, Cayman and Beyond

Moderator: Catherine Pan, *Partner, Dorsey & Whitney LLP*

Panelists:

James Canales, *Head of Compliance & Data Privacy Officer- Americas, Apex Fund Services*
Howard Cooper, *CEO, Cooper Family Office*
Catherine Houts, *Senior Manager, KPMG in the Cayman Islands*
Catherine Pham, *Partner, Mourant Ozannes*

4:30 - 5:30 PM

***Session 7:** Cybersecurity Regulation and Best Practices

Moderator: Nick Akerman, *Partner, Dorsey & Whitney LLP*

Panelists:

Janaya Moscony, *President, SEC Compliance Consultants*
Suzan Rose, *Chief Compliance Officer, Marshall Wace North America L.P.*
Edward Stroz, *Co- President, Stroz Friedberg*
Tom Weston, *Partner, Hakluyt*

5:30 - 7:00 PM

Cocktail Reception

CLE INFORMATION

***Note – CLE credit only available for Sessions 3, 5 and 7.**